## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	r: 3235-0287					
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* MCDANIEL THOMAS R			2. Issuer Name and Ticker or Trading Symbol SUNPOWER CORP [ SPWR ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
MCDANIE	L IHOMA	<u>5 R</u>		X	Director	10% Owner			
(Last) 51 RIO ROBI	(First) LES	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/17/2020		Officer (give title below)	Other (specify below)			
			4. If Amendment, Date of Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable				
(Street) SAN JOSE	CA	95134		Line)	Form filed by One Reporting Person				
(City)	(State)	(Zip)	—		Form filed by More than One Reporting Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	08/17/2020		A <sup>(1)</sup>	v	6,449	A	\$0.00	268,701	Ι	Held in Trust - McDaniel Trust dtd 7/26/2000
Common Stock	08/17/2020		D	v	10,177	D	\$0.00	116	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature Expiration Date (Month/Day/Year) Derivative Conversion Date **Execution Date** Transaction Amount of Derivative derivative Ownership of Indirect of Security or Exercise (Month/Day/Year) if any Code (Instr. Derivative Securities Security Securities Form: Beneficial Direct (D) Price of Derivative Security (Month/Dav/Year) (Instr. 3) 8) Securities Underlying Derivative (Instr. 5) Beneficially Ownership Acquired (A) or Disposed Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Security (Instr. 3 and 4) Reported Transaction(s) (Instr. 4) of (D) (Instr. 3, 4 and 5) Amount or Number Date Expiration nf Code v (A) (D) Exercisable Date Title Shares

Explanation of Responses:

1. Shares held of record by the McDaniel Trust dtd 7/26/2000 (the "Trust") of which Mr. McDaniel and his wife are co-trustees. Each trustee has independent control and voting power over the Trust. **Remarks:** 

<u>/s/ Lauren Walz, as attorney-</u> <u>in-fact for Thomas R.</u> 08/18/2020 <u>McDaniel</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.