## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to	1
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number: 3235-0287										
Estimated average burden										
hours per response:	0.5									

1. Marile and Address of Reporting Feison			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>SUNPOWER CORP</u> [ SPWR ]		tionship of Reporting Perso all applicable) Director	on(s) to Issuer 10% Owner
(Last) 51 RIO ROBLE	(First) S	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/11/2019		Officer (give title below)	Other (specify below)
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	idual or Joint/Group Filing	(Check Applicable
(Street)				Line)		х н
SAN JOSE	СА	95134		X	Form filed by One Repor	rting Person
SHITTOOL	CIT	50101			Form filed by More than	One Reporting
(City)	(State)	(Zip)			Person	

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities / Disposed Of ( 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	11/11/2019		М		8,855	A	\$0.00	8,971	D	
Common Stock								235,636	I	Held in Trust - McDaniel Trust dtd 7/26/2000

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		Derivative Expiration		Expiration Da	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units (RSUs)	\$0.00 <sup>(1)</sup>	11/11/2019		Α		8,855		(2)	(2)	Common Stock	8,855	\$0.00	8,855	D	
Restricted Stock Units (RSUs)	<b>\$0.00</b> <sup>(1)</sup>	11/11/2019		М			8,855	(2)	(2)	Common Stock	8,855	\$0.00	0	D	

#### **Explanation of Responses:**

1. Each RSU represents a contingent right to receive one share of the Issuer's Common Stock upon vesting.

2. The RSUs were automatically granted and vested immediately on November 11, 2019 under the non-employee director compensation policy approved and adopted by Issuer's Board of Directors on July 22, 2015.

### **Remarks:**

## /s/ Lauren Walz, as attorney-in-

11/12/2019

fact for Thomas R. McDaniel
\*\* Signature of Reporting Person

n Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.