SEC Form 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person* <u>LESJAK CATHERINE A</u>				2. Issuer Name and Ticker or Trading Symbol SUNPOWER CORP [SPWR]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) 51 RIO ROBL	(First) ES	(Middle)		3. Date (05/11/2	of Earliest Transact 2020	tion (Month/D	ay/Year)		Officer (give title below)	Other below	(specify)			
					endment, Date of C	Driginal Filed (Month/Day/Yea	6. Individual or Joint/Group Filing (Check Applicable						
(Street) SAN JOSE	CA	95134							Line) X	Form filed by One Form filed by Mon Person				
(City)	(State)	(Zip)												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Date			2. Transacti Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Common Stock 05/11/2020 M V Amount (A) or (D) Price Transaction(s) (Instr. 3 and 4) Transaction(s) (Instr. 3 and 4) Common Stock 05/11/2020 M V 10,177 A \$0.00 152,610 D

(e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units (RSUs)	\$0.00 ⁽¹⁾	05/11/2020		A		10,177		(2)	(2)	Common Stock	10,177	\$0.00	10,177	D	
Restricted Stock Units (RSUs)	\$0.00 ⁽¹⁾	05/11/2020		М			10,177	(2)	(2)	Common Stock	10,177	\$0.00	0	D	

Explanation of Responses:

1. Each RSU represents a contingent right to receive one share of the Issuer's Common Stock upon vesting.

2. The RSUs were automatically granted and vested immediately on May 11, 2020 under the non-employee director compensation policy approved and adopted by Issuer's Board of Directors on July 22, 2015.

<u>/s/ Lauren Walz, as attorney-in-</u> fact for Catherine A. Lesjak 05/12/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.